

# COMPLIANCE OFFICER



The Compliance Officer of the association, Ms Mary Jane Concepcion is also the General Manager who manages KGI-MBA's day-to-day operations. She is a graduate of Bachelor of Science in Accountancy in Metro Manila College and has a Masters Degree in Business Management from President Ramon Magsaysay State University in Zambales. She has been with the association since its establishment until its completion of all the necessary government registration were completed, to the issuance of the association's first authority to

operate as a Mutual Benefit Association from the Insurance Commission.

The trainings she attended includes:

- Governance and AMLA Workshop for Mi-MBA's;
- Leadership Training;
- Financial Management;
- Fraud Manual Writeshop;
- ACGR Writeshop;
- Corporate Governance Manual Writeshop; and
- other Governance trainings for Mi-MBA's.

Being the Compliance Officer, her responsibilities are the following:

- a. Monitor compliance with the provisions and requirements of this Manual;
- b. Determine violations of the Manual and recommend appropriate sanctions and/or penalties for violation thereof, for further review and approval of the Corporation's Board of Trustees;
- c. Identify, monitor, and control compliance risks;
- d. Appear before government agencies, if necessary; and
- e. Annually prepare and submit to the Office of the Insurance Commission (IC) a Corporate Governance Scorecard as required by the Code of Corporate Governance before deadline set by IC.